

AUDIT & STANDARDS COMMITTEE

23rd January 2020

Work Plan for 2019/20

Purpose of Report

This report presents the SCR Audit and Standards Committee work plan for 2019/20.

Freedom of Information & Section 12A of the Local Government Act 1972

Under the Freedom of Information Act this paper and any appendices will be made available under the Mayoral Combined Authority Publication Scheme. This scheme commits the Authority to make information about how decisions are made available to the public as part of its normal business activities.

Recommendations

Members consider the revised work plan for 2019/20 and agree any additional items to be scheduled.

1. Introduction

1.1 The Audit and Standards Committee work plan for 2019/20, is required to facilitate the Committee in meeting its accountabilities.

1.2 This work plan is revised quarterly to ensure it remains on schedule.

2. Proposal

2.1 Work Plan

The proposed work plan is attached at appendix A. This document aims to ensure the Audit and Standards Committee are appropriately sighted on key governance issues and activities in a timely manner and ensure that items relevant to their statutory accountabilities are appropriately scheduled.

2.2 Since the workplan was presented to the Committee in October the following items have been re-scheduled.

- Financial Regulations Review – this has been postponed pending a wider review of financial regulations across the group
- Strategic Risk Monitoring – whilst strategic risks are monitored by Statutory Officers regularly, a formal review of Risk Management Action Plans has been deferred

pending the outcome and recommendations from the Risk Management internal audit which is due to conclude at the end of January/beginning of February.

- Treasury Management Strategy 20/21 – deferred to March meeting, further to Audit & Standards Committee feedback on the Mid-year report (item 9 on the agenda)

3. Consideration of alternative approaches

- 3.1** A work plan is required to ensure the Audit and Standards Committee is able to meet its accountabilities.

4. Implications

4.1 Financial

None.

4.2 Legal

None.

4.3 Risk Management

Failure to consider this annual work plan could result in ineffective controls of the SCR MCA / LEP.

4.4 Equality, Diversity and Social Inclusion

There are no equality, diversity or social inclusion implications.

5. Communications

- 5.1** None.

6. Appendices/Annexes

- 6.1** Appendix A – Work Plan

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Background papers used in the preparation of this report are available for inspection at: 11 Broad Street West, Sheffield S1 2BQ

Other sources and references: n/a